COMMITTEE ON LIBRARY AND SCHOLARLY COMMUNICATION
ANNUAL REPORT 2013 – 2014

To the Legislative Assembly of the Academic Senate, Los Angeles Division:

The Committee on Library and Scholarly Communication is the Academic Senate’s primary pathway for communication with the UCLA Administration with respect to the Library and scholarly communication. The Committee takes, as its principal obligation, to reflect and articulate the views of UCLA faculty members concerning the role of the University Library in the acquisition, storage, and provision of scholarly materials. The Committee represents the Division and the faculty in all matters of library policy and advises the Library administration accordingly. COLASC works to forcefully, clearly, and collegially represent faculty interests and concerns in these areas in the spirit of shared governance.

Summary

The Committee on Library and Scholarly Communication discussed several key issues during the academic year. Among the most prominent:

1. Open Access policy implementation/E-scholarship
2. Rosenfeld Library proposal
3. General Library Issues

Major Issues Fall 2013 – Summer 2014

- On July 24, 2013 the Academic Senate of the University of California passed an open access policy, effective November 1, 2013 (appendix I), which would ensure that future research articles authored by faculty at all 10 campuses of UC are made available to the public at no charge. The purpose of the policy, as stated by the UCLA Library, is that “by granting UC a license prior to any contractual arrangements with publishers, faculty members can make their published research articles widely and publicly available to students, scholars, and the general public at no charge, increasing readership of and citations to their work while also re-using it for various purposes or modifying it for future research publications. The policy covers more than eight thousand UC faculty and as many as forty thousand articles a year by faculty at all ten UC campuses.”

To comply with the Open Access Policy, faculty are to submit scholarly journal articles to e-Scholarship, an online repository developed by the University of California and housed within the California Digital Library. UCLA librarians are actively assisting faculty with the policy, and a dedicated section on the library’s website has been established.

On March 10, Laine Farley, CDL Executive Director, and Catherine Mitchell, CDL Director of Access and Publishing, presented to the Committee. During the 13-14 academic year, UC initiated an RFP for a harvesting tool that would populate the repository with scholarly...
articles published by UC faculty. The harvester would search major indexing sites and collect the metadata associated with UC faculty publications, and send an alert for the faculty member to confirm that it belongs to them. Once the faculty member confirms the publication and metadata, they will be instructed to upload their final version (pre-publisher). If the publication is already available openly in another location (such as PubMed), faculty can simply point to the URL where it is located. It is imperative that linked publications are counted in UCLA’s statistics, in order to prevent the illusion of more non-compliance than there actually is. The contracted harvester should help give a more accurate representation of UCLA faculty work.

The Committee would like to express its thanks and gratitude to former COLASC chair Chris Kelty, who worked extensively in forming the policy. Moving forward, the Committee will continue to advise and work in concert with the Library to increase faculty awareness and participation in the initiative.

- In December 2013, the Committee met with representatives from the Anderson Graduate School of Management to discuss the proposed transformation of the Rosenfeld Library into an accelerator space. The accelerator space would create “active learning” areas for Anderson students to develop actionable plans and proposals for various projects. The space would allow outside groups to come in to work directly with UCLA students.

The space in question does not experience a heavy volume of traffic and circulation of its materials is low, and was identified due to the lack of team-oriented space elsewhere in the school. In contrast to other disciplines, business research does not primarily use print materials. Were the project to move forward, UCLA would be the first academic library to incorporate such an accelerator space. The Anderson representatives are hoping that in order to access the space, an interdisciplinary board of directors would vet proposals from teams across campus, who would then be allotted a limited period to use the space for their proposal, but since Library spaces are generally available to all campus constituencies, there will have to be follow-up conversations about this.

According to the Library, materials currently housed in the Rosenfeld Library that are not duplicated in the Southern Regional Library Facility (SRLF) could move to SRLF or other campus locations. After meeting with the representatives from Anderson, the Committee expressed its concerns to Executive Vice Chancellor Scott Waugh in a letter dated January 3, 2014 (appendix II). The Anderson School has been receptive to the idea of having a member of COLASC on the planning committee for the Rosenfeld Library project.

- In order to effect its charge, the Committee is in constant communication with Library administration and receives a report from the Librarian at each of its meetings. The Committee welcomed new UCLA Librarian Virginia Steel in 2013-14, who previously held the same position at UC Santa Cruz. Based on reports from Librarian Steel and others, below are the more prominent issues facing the Library:

1) With continuing budget reductions and the loss of staff through retirement and outside recruitment, the library has fewer subject experts than in the past. The Library has
compiled a list of all the positions (about 50), with a mix of librarians, technologists, para-professionals, etc. A set of principles has been established to help the Library evaluate the importance of filling various positions. Once a list of the most urgent positions needing to be filled has been compiled, it will be presented to the Library management group for discussion, and COLASC will be informed.

2) The UCLA Library’s collections are collections of record, as the Library has collected more comprehensively than most other academic libraries, and an action plan must be developed if it is to continue to do so.

3) The Library needs to develop a strategy to handle large data sets and other digital resources from faculty research, for which capturing and preserving them could potentially be very costly.

4) From a physical standpoint, the Library is just about out of space. In a number of the campus libraries, seating has been removed to make room for more collections, which has an impact on students.

5) A systemwide discussion is taking place regarding the print collections, as most UC libraries have the same issue with physical space. A proposal to dispose of duplicate collections in Powell (mostly made up of material held on reserve for classes years ago that were simply added to the collections) to create more seating in what is a very heavily used facility is being evaluated, as students need more space, taking into account laptop usage in addition to notebook and print material. UC standards for library spaces mandate seating for 25% of the undergraduate population, while Powell currently has seating for about 3% of the student body. Both the music and arts libraries have very limited seating as well. Discussions have begun within the Library and with Capital Projects to produce a master space plan for the UCLA Library that would identify long-term goals for the variety of spaces and collections within the Library.

6) Librarian Steel noted that it would be appropriate for the Library to look into conducting an inventory, as a full inventory hasn’t been done in almost 20 years.

7) For the Centennial Campaign, the Library’s goal is $52 million, but how the funds raised will be allocated internally has yet to be determined. The Library expects the funds to be used mainly to build endowments and generate funding to acquire materials, enhance services (such as the Center for Primary Research and Teaching), and improve physical spaces.

8) The Library has proposed unified loan periods, which would align the loan periods across the university’s libraries. Instead of having two set dates each year for faculty, it would become a rolling due date 180 days from the date checked out. It is hoped that the new loan periods will be easier to remember, and the library hopes to launch the new loan periods by the fall.
Respectfully Submitted,

Jean-Francois Blanchette, Information Studies
Christine Borgman, Information Studies
Joseph Bristow, English
Sue Ellen Case, Theater
Jacco Dieleman, Near Eastern Languages and Cultures
Alan Garfinkel, Medicine-Cardiology
Alex Levine, Chemistry and Biochemistry
David Sabeau, History
Jody Kreiman, Head and Neck Surgery, CHAIR

January 15, 2015
Appendix I
Open Access Policy for the Academic Senate of the University of California
Adopted 7/24/2013

Preamble

The Faculty of the University of California is committed to disseminating its research and scholarship as widely as possible. In particular, as part of a public university system, the Faculty is dedicated to making its scholarship available to the people of California and the world. Furthermore, the Faculty recognizes the benefits that accrue to themselves as individual scholars and to the scholarly enterprise from such wide dissemination, including greater recognition, more thorough review, consideration and critique, and a general increase in scientific, scholarly and critical knowledge. Faculty further recognize that by this policy, and with the assistance of the University, they can more easily and collectively reserve rights that might otherwise be signed away, often unnecessarily, in agreements with publishers. In keeping with these considerations, and for the primary purpose of making our scholarly articles widely and freely accessible, the Faculty adopts the following policy:

Grant of License and Limitations

Each Faculty member grants to the University of California a nonexclusive, irrevocable, worldwide license to exercise any and all rights under copyright relating to each of his or her scholarly articles, in any medium, and to authorize others to do the same, for the purpose of making their articles widely and freely available in an open access repository. Any other systematic uses of the licensed articles by the University of California must be approved by the Academic Senate. This policy does not transfer copyright ownership, which remains with Faculty authors under existing University of California policy.

Scope and Waiver (Opt-Out)

The policy applies to all scholarly articles authored or co-authored while the person is a member of the Faculty except for any articles published before the adoption of this policy and any articles for which the Faculty member entered into an incompatible licensing or assignment agreement before the adoption of this policy. Upon express direction by a Faculty member, the University of California will waive the license for a particular article or delay access to the article for a specified period of time.

Deposit of Articles

To assist the University in disseminating and archiving the articles, Faculty commit to helping the University obtain copies of the articles. Specifically, each Faculty member who does not permanently waive the license above will provide an electronic copy of his or her final version of the article to the University of California by the date of its publication, for inclusion in an open access repository. When appropriate, a Faculty member may instead notify the University of California if
the article will be freely available in another repository or as an open-access publication. Faculty members who have permanently waived the license may nonetheless deposit a copy with the University of California or elsewhere for archival purposes.

Notwithstanding the above, this policy does not in any way prescribe or limit the venue of publication. This policy neither requires nor prohibits the payment of fees or publication costs by authors.

Oversight of Policy

The Academic Senate and the University of California will be jointly responsible for implementing this policy, resolving disputes concerning its interpretation and application, and recommending any changes to the Faculty. Any changes to the text of this policy will require approval by both the Academic Senate and the University of California. The Academic Senate and the University of California will review the policy within three years, and present a report to the Faculty and the University of California.

The Faculty calls upon the Academic Senate and the University of California to develop and monitor mechanisms that would render implementation and compliance with the policy as convenient for the Faculty as possible.
Appendix II
January 3, 2014

Scott Waugh
Executive Vice Chancellor and Provost

Re: Rosenfeld Transformation Project at Anderson

Dear EVC Waugh,

As chair of the Academic Senate Committee on Library and Scholarly Communication, I want to thank everyone who participated in the productive and interesting meeting held on December 9, 2013. At this meeting, senior representatives from the Anderson School of Management presented their plan for converting space in the Rosenfeld Library into an incubator space in which groups of students may work to develop ideas for new products and businesses.

I would like to stress at the outset that the members of COLASC are unanimous in their support for developing such a space at AGSM. We understand how important an incubator space is for a business school, and we understand that Anderson is seeking to align their facilities with those available at other schools around the country.

We have three concerns about this project, none of which have to do with the merit of the project itself. First and most important is our view that planning should take into account not just the needs of the Anderson School (although these may be primary), but those of the broader campus as well. The proposed incubation space will be popular, useful, and attractive to many kinds of students, and for incubation of non-commercial ideas as well as for development of new businesses or products. Because this space belongs to the library rather than to AGSM, planning should include substantial consultation with colleagues from across disciplines, and not just from the Anderson School. We request that the Anderson School develop a formal document describing their vision for the space, specifically addressing the kind of access non-Anderson students will have, who will serve on the review board that determines access, and what the criteria for access will be. In our view, it is essential that a governing board include substantial representation from the entire campus, and that a policy be in place clearly stating that non-Anderson and non-entrepreneurial groups are welcome. Once policies have been developed and articulated, we believe that, following Academic Senate processes, the document should be vetted at a public hearing at which input can be solicited from all interested members of the campus community.

Our second concern is that sufficient communication must take place between library staff and the Anderson School to develop a formal plan for dealing with the space transition, and in particular to ensure that planners at Anderson understand the steps involved in freeing up the space in question. Among the planning requirements are the need to identify and prepare space to stage the materials currently in the Rosenfeld basement; to develop plans to ensure continued access to important items; and to analyze library records for information necessary to determine the best disposition for each item. From the discussion that occurred on December 9, it does not appear that the library and the Anderson School have a shared vision of how or when these tasks will be accomplished.
Finally, we wish to emphasize that the space under discussion is and will remain library space, regardless of how and by whom it is remodeled. At present, Anderson students do not enjoy exclusive access to the Rosenfeld library, and remodeling the space cannot and will not change this status. All campus libraries are and will remain resources for the entire campus. We recommend that the Anderson staff and the University Librarian begin negotiations to develop specific policies governing how access will be allotted and usage balanced between Anderson students and individuals from the rest of campus, and that any agreement arising from these negotiations be in writing and be conveyed to COLASC.

We thank all parties for involving COLASC in the important process of developing this new space in the Rosenfeld Library. We are very excited about the potential inherent in integration of library and entrepreneurial functions in this space, and we look forward to hearing more about the plans for making this happen as they develop.

Sincerely,

Jody Kreiman
Chair, Committee on Library and Scholarly Communication

Cc: Virginia Steel, UCLA Librarian
    Judy Olian, Dean, Anderson School of Management
    Jan Reiff, Chair, Academic Senate
    Mark Kaminsky, Policy Specialist, Academic Senate